

PATENT

ATTORNEY DOCKET NO. SOVA.016.00US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Sovak, *et al.*

Serial No.: 09/580,503

Filed: May 25, 2000

For: **A TOPICAL ANTIANDROGEN FOR
HAIR LOSS AND OTHER
HYPERANDROGENIC CONDITIONS**



) Examiner: Not Yet Assigned

) Art Unit: Not Yet Assigned

) **INFORMATION DISCLOSURE**
) **STATEMENT**

BOX DD

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

This Information Disclosure Statement is hereby submitted in accordance with 37 CFR 1.98 and pursuant to Applicant's continuing duty under 37 CFR 1.56 to bring any information which may be material to patentability of this application to the Examiner's attention.

Copies of missing references B1-2 and C1-10 will be submitted under separate cover upon our receipt.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C.

20231 on

Date

August 9, 2000

Signed

/s/

Print

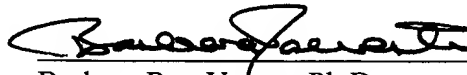
Ian Kaminsky

Applicant makes no representation that a complete search has been conducted by the Applicant, or that there is not possibly more relevant art. Applicant also makes no representation that the information submitted herewith is in fact material.

The subject application is believed patentable over any of the above references.

Respectfully submitted,

Dated: August 7, 2000



Barbara Rae-Venter, Ph.D.
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BRV/slb

PATENT

DOCKET NO. SOVA.016.00US



COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

FORM PTO-1449 (Modified)
LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)
Sheet 1 of 2

In re application of: Sovak, *et al.*

) Examiner: Not Yet Assigned

Serial No.: 09/580,503

) Art Unit: Not Yet Assigned

Filed: May 25, 2000

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U.S. PATENT DOCUMENTS

<u>Ref. Desig.</u>	<u>Examiner's Initials</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>	<u>Class/ Subclass</u>	<u>Filing Date</u>
A1		5,656,651	08/12/97	Sovak, et al.	514/396	
A2		4,636,505	01/13/87	Tucker	514/256	
A3		4,880,839	11/14/89	Tucker	514/613	

FOREIGN PATENT DOCUMENTS

<u>Ref. Desig.</u>	<u>Examiner's Initials</u>	<u>Document Number</u>	<u>Date</u>	<u>Country</u>	<u>Class/ Subclass</u>	<u>Filing Date</u>
B1		WO 97/00071		PCT		
B2		EP 0 100 172		EPO		

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

<u>Ref. Desig.</u>	<u>Examiner's Initials</u>	
C1		Battmann et al., <u>J. Steroid Biochem. Molec. Biol.</u> (1994), 48:55-60
C2		Battmann et al., <u>J. Steroid Biochem. Molec. Biol.</u> (1998), 64:103-111
C3		Brouwer et al., <u>J. of Dermatology</u> (1997), 137:699-702
C4		Cousty-Berlin, <u>J. Steroid Biochem. Molec. Biol.</u> (1994), 51:47-55

Examiner:

Date Considered:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

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COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

FORM PTO-1449 (Modified)
LIST OF PATENTS AND PUBLICATIONS
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(Use several sheets if necessary)
Sheet 2 of 2

In re application of: Sovak, *et al.*) Examiner: Not Yet Assigned
Serial No.: 09/580,503) Art Unit: Not Yet Assigned
Filed: May 25, 2000)

C5 Kaufman, Dermatologic Clinics (1996), 14:697-711
C6 Kondo et al., Prostate (1996), 29:146-52
C7 Kuil and Brinkmann, Eur. Urol. (1996), 29:78-82
C8 Shapiro and Price, Dermatologic Clinics (1998), 16:341-56
C9 Simard et al., Urology (1997), 49:580-9
C10 Toney et al., J. Steroid Biochem. Molec. Biol. (1997), 60:131-6

Examiner:

Date Considered:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

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) **INFORMATION DISCLOSURE
STATEMENT TRANSMITTAL**

BOX DD

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith is:

☒ An Information Disclosure Statement.

☒ A PTO-1449.

☐ Copies of references listed on the PTO-1449 form.

☐ English translation of foreign language references.

☐ Copy of foreign examination report.

☐ English translation of foreign examination report.

☒ Return postcard (postage prepaid).

1. ☒ This Information Disclosure Statement is filed before the later of:

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C.

20231 on
Date August 9, 2000

Signed

Print

[Signature]

Jan Kaminsky

1. ☒ This Information Disclosure Statement is filed before the later of:
 - ☒ three months from the filing date of this national application;
 - ☐ three months from the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application; or
 - ☐ the mailing date of a first office action on the merits.☒ In accordance with 37 C.F.R. § 1.97(b), no fee is required.
2. ☐ This Information Disclosure Statement is filed after the events noted in paragraph 2, but before either:
 - (1) a final action under 37 C.F.R. § 1.113; or
 - (2) a Notice of Allowance under 37 C.F.R. § 1.311.☐ In accordance with 37 C.F.R. § 1.97(c) also enclosed is:
 - ☐ a fee under 37 C.F.R. § 1.17(p) in the amount of \$210; or
 - ☐ a certification as stated below.
3. ☐ This Information Disclosure statement is filed before the payment of the issue fee, but after either:
 - (1) a final action under 37 C.F.R. § 1.113; or
 - (2) a Notice of Allowance under 37 C.F.R. § 1.311.☐ In accordance with 37 C.F.R. § 1.97(d) also enclosed is:
 - (1) A certification, as stated below;
 - (2) A Petition requesting consideration of the Information Disclosure Statement; and
 - (3) The petition fee set forth in 37 C.F.R. § 1.17(i)(1) in the amount of \$130.

Certification

The undersigned also states:

- ☐ Each item of information contained in the Information Disclosure Statement cited herein was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing date of this Information Disclosure Statement; or
- ☐ No item of information contained in the Information Disclosure Statement submitted herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing date of this Information Disclosure Statement.

The fees indicated above are:

TOTAL FEES: \$ 0

- ☐ A check including the amount of the above-indicated TOTAL FEES is attached.
- ☐ Please charge Deposit Account No. 18-0020 in the amount of: \$ _____.
- ☐ A check in the amount of \$ _____ is attached.
- ☒ No fee is required.
- ☒ The Commissioner is hereby authorized to charge any underpayment of the following fees associated with this communication, including any necessary fees for extension of time, or credit any overpayment to Deposit Account No. 18-0020.
- ☒ Any filing fees under 37 C.F.R. § 1.16 for the presentation of extra claims.
- ☒ Any patent application processing fees under 37 C.F.R. § 1.17.
- ☒ A duplicate copy of this sheet is attached for accounting purposes.

Respectfully submitted,

Dated: August 7, 2000



Barbara Rae-Venter, Ph.D.

Reg. No. 32,750

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